

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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1c971 U.S. PTO
10/083170
02/26/02

INVENTORS: Vincent Formale, Markus Koestler, Jamie Randolph, Geetika Shinde, Andree' Taylor, Gerald Tieskoetter, and Lagray Wells

APPLICATION NO. As yet unassigned

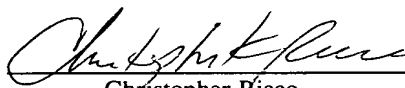
FILED: Herewith

CASE: RSW920010215US1

TITLE: METHOD, SYSTEM, AND GRAPHIC USER INTERFACE FOR AUTOMATED ASSET MANAGEMENT

Certificate of Express Mailing

I certify that this document and fee is being deposited with the U.S. Postal Service as Express Mail Post Office to Addressee, Mailing Label No. EL875291635, in an envelope addressed to the Commissioner for Patents, Box Patent Application, Washington, DC 20231 on February 26, 2002.


Christopher Ricco

Commissioner for Patents
Box Patent Application
Washington, DC 20231

Information Disclosure Statement
Pursuant to 37 C.F.R. §§1.56, 1.97 and 1.98

Sir:

Pursuant to Applicants' duty of disclosure set forth in 37 CFR §§1.56, 1.97 and 1.98, the following information is disclosed to aid the Examiner in his or her examination of the application. The inclusion of information in this Disclosure Statement and as set forth on the attached Forms PTO-1449 is not necessarily a concession that such information is prior art to the present invention or that such information is in an art area analogous to the present invention. Copies of the publications listed on the attached Form PTO-1449 are submitted herewith.

Docket No. RSW920010215US1

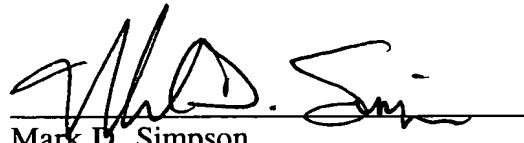
Application No. as yet unassigned

It is respectfully requested that the Examiner initial Forms PTO-1449 and return a copy of same upon consideration of these publications.

Since this Information Disclosure Statement is being filed with a new application, no fee or certification is required.

Respectfully submitted,

Date 2/26/02

A handwritten signature in black ink, appearing to read "Mark D. Simpson", written over a horizontal line.

Mark D. Simpson
Reg. No. 32,942
Synnestvedt & Lechner LLP
2600 Aramark Tower
1101 Market Street
Philadelphia, PA 19107-2950
(215) 923-4466

Form PTO-1449 Modified List of Patents and Publications Cited by Applicant (Use several sheets if necessary) U.S. Department of Commerce Patent and Trademark Office			Docket No. RSW920010215US1		Application No. As yet unassigned	
			Applicant(s) V. Formale, M. Koestler, J. Randolph, G. Shinde, A. Taylor, G. Tieskoetter and L. Wells			
			Filing Date Herewith		Group	
U.S. PATENT DOCUMENTS						
Examiner Initials		Document No.	Date	Name	Class	Subclass
	AA	5,870,733	02/09/1999	Bass et al.	707	2
	AB	6,064,736	05/16/2000	Davis et al.	380	21
	AC	6,104,393	08/15/2000	Santos-Gomez	345	336
	AD	6,148,340	11/14/2000	Bittinger et al.	709	224
	AE					
	AF					
	AG					
	AH					
	AI					
	AJ					
FOREIGN PATENT DOCUMENTS						
Examiner Initials		Document No.	Date	Country	Translation	
					Yes	No
	AL					
	AM					
	AN					
	AO					
	AP					
	AQ					
	AR					
Examiner			Date Considered			

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